

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
)  
Cheng-Tsin LEE, et al. )  
)  
Serial No.: Divisional of 09/458,616 ) Art Unit: 1762  
)  
Filed: September <sup>30</sup>~~29~~, 2003 ) Examiner: M. Barr  
)  
For: METHOD OF TREATING CERAMIC )  
SURFACES )

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Attorney Docket No. M8540/292780  
Date: September <sup>30</sup>~~29~~, 2003

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), the publications listed on the enclosed Form PTO 1449 are submitted for consideration by the Examiner. The above-identified application is a divisional of U.S. Serial No. 09/458,616 filed on December 10, 1999, now allowed. The publications cited on the enclosed Form PTO 1449 have been cited and made of record in U.S. Serial No. 09/458,616. Therefore, copies of the publications cited are not being transmitted at this time. Copies will be provided, however, at the request of the Examiner.

Submission of the references provided in this Information Disclosure is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specially designated as such. Also, in accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has

Divisional of U.S. Serial No. 09/458,616  
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been made, or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

This Information Disclosure Statement is being filed before the issuance of a first office action on the merits of the application (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be due. If a fee is due, the Commissioner is authorized to charge such fee and any additional fees that may be due or credit any overpayment to Deposit Account No. 11-0855.

Respectfully submitted,



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Form PTO-1449  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>		Docket No.: <b>M8540/292780</b>		Application No. Divisional of <b>09/458,616</b>			
		Applicants: <b>Cheng-Tsin Lee, Keith A. Ferguson, and Esteban V. Herrera</b>					
		Filing Date: <b>September 30, 2003</b>		Group Art Unit <b>1762</b>			
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		3,904,787	09/09/75	Trebinger, et al.			
		4,387,195	06/07/83	Tully, et al.			
		4,737,251	04/12/88	Carle, et al.			
		5,158,829	10/27/92	Wegmann, et al.			
		5,204,296	04/20/93	Walter, et al.			
		5,730,598	03/24/98	Story, et al.			
		5,798,144	08/25/98	Varanasi, et al.			
		6,060,113	05/09/00	Banno, et al.			
<b>NON-U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation
		8120225A	05/14/96	Japan (Abstract)			
<b>OTHER DOCUMENTS</b>							
		European Patent Office Search Report in related Application No. 99309936.5					
		Aiguo, et al., "Wettability of transition metal oxide surfaces," <i>Materials Science &amp; Engineering A: Structural Materials: Properties, Microstructure and Processing</i> , A242:50-56 (1998) (Abstract)					
		Nagai, "Planarization of Surface of Aluminium Nitride Substrate with Thin Silicon Oxide Film," <i>Journal of the Ceramic Society of Japan, Int. Edition</i> , 104-701-704 (1996)					
		Hiemenz, P.C., "Principles of Colloid and Surface Chemistry," <i>MARCEL DEKKER, INC.</i> , pp. 209-251 (1977)					
		Garrett, R.H. and Grisham, C.M., "Biochemistry," 4th edition, <i>Saunders College Publishing, Harcourt Brace College Publishers</i> , pp. 46-48 (undated)					
		"2-D Electrophoresis Using Immobilized PH Gradients, Principles & Methods," <i>Amersham Pharmacia Biotech</i> , Rev A / 10-98, pp. Introduction and 14-18					
Examiner:				Date Considered:			
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							